

INTELLECTUAL PROPERTY LAW

PATENTS, TRADEMARKS,

COPYRIGHTS, UNFAIR

COMPETITION, TRADE SECRETS,

LITIGATION, DISPUTE RESOLUTION

AND RELATED MATTERS

**SALT LAKE AREA OFFICE**  
8180 SOUTH 700 EAST, SUITE 200  
SANDY, UTAH 84070-0562  
801.566.6633  
801.566.0750 FAX  
PATLAW@TNW.COM  
WWW.TNW.COM

**U.S. MAILING ADDRESS**  
P.O. Box 1219  
SANDY, UTAH 84091-1219

**LAS VEGAS AREA OFFICE**  
OF COUNSEL: NEIL J. BELLER †  
7408 WEST SAHARA  
LAS VEGAS, NEVADA 89117  
702.368.7767  
† ADMITTED IN NEVADA

VAUGHN W. NORTH  
M. WAYNE WESTERN  
GARRON M. HOBSON  
PETER M. DE JONGE  
CLIFTON W. THOMPSON  
STEVE M. PERRY  
WEILI CHENG, PH.D.  
DAVID R. MCKINNEY, PE.  
GARY P. OAKESON  
DAVID W. OSBORNE  
DAVID L. STOTT  
JASON R. JONES  
PAUL C. OESTREICH, M.S.  
ERIK S. ERICKSEN, M.S.  
REGISTERED PATENT ATTORNEYS  
NATHAN S. WINESETT  
OF COUNSEL  
BRYAN K. HANKS  
PATENT AGENT  
ALEX HAYMOND, B.S. EE  
TODD B. ALDER, PH.D.  
JONATHAN M. BENNS, PH.D.  
AARON M. SMITH, B.S. EE  
WILLIAM T. RALSTON, PH.D. EE  
TECHNICAL CONSULTANTS  
CALVIN E. THORPE  
(1938-1999)

December 2, 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application  
Applicant: Gene Squitieri  
Title: **METHOD AND APPARATUS FOR A GAME OF CHANCE**  
Attorney Docket No. 22278

Sir/Madam:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of 7 references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

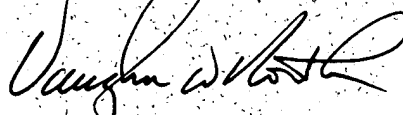
- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- ☐ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- ☐ Statement under 37 C.F.R. § 1.97(e)(1) or (2).
- ☐ Check No. \_\_\_\_\_ in the amount of \$0.00 (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Statement meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Respectfully submitted,



Vaughn W. North  
Attorney for Applicant  
Registration No. 27,930

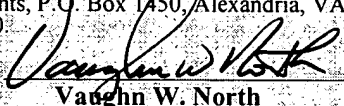
THORPE NORTH & WESTERN, LLP  
Customer No. 20,551  
P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone: (801) 566-6633



VWN/DLS/ja

IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

ART UNIT:  
EXAMINER:  
APPLICANT: GENE SQUITIERI  
SERIAL NO.:  
FILED: December 2, 2003  
CONFRM. NO.:  
FOR: METHOD AND APPARATUS FOR  
A GAME OF CHANCE

<p><b>CERTIFICATE OF MAILING</b> <b>UNDER 37 C.F.R. § 1.8</b></p> <p>DATE OF DEPOSIT: <u>12/2/03</u></p> <p>I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450</p> <p> Vaughn W. North</p>
--

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

☒ 37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last;

☐ 37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

☐ 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

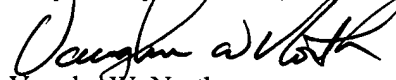
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 2nd day of December, 2003.

Respectfully submitted,



Vaughn W. North  
Attorney for Applicant  
Registration No. 27,930

THORPE NORTH & WESTERN, LLP  
Customer No. 20,551  
P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone: (801) 566-6633

VWN/DLS/ja  
Enclosure

PTO-1449  <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b> 22278	<b>SERIAL NO.</b> _____
	<b>APPLICANT</b> Gene Squitieri	
	<b>FILING DATE</b> _____	<b>GROUP</b> _____

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	4,421,315	Dec. 20, 1983	Cutler			
	A2	4,443,012	Apr. 17, 1984	Makovic et al.			
	A3	5,118,114	Jun. 2, 1992	Tucci			
	A4	5,257,789	Nov. 2, 1993	LeVasseur			
	A5	5,560,613	Oct. 1, 1996	Nguyen			
	A6	6,070,872	Jun. 6, 2000	Squitieri			
	A7	6,485,366	Nov. 26, 2002	Rowe			
	A8						
	A9						
	A10						
	A11						
	A12						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A13						
	A14						
	A15						
	A16						
	A17						

**OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)**

	A18	
	A19	
	A20	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.